



EastMidlandsSharedServices

EAST MIDLANDS SHARED SERVICES JOINT COMMITTEE

MINUTES

of meeting held on **23 SEPTEMBER 2011** at Loxley House, Station Street, Nottingham from 11.00 am to 11.40 am

Membership

Leicestershire County Council

- ✓ Councillor B Rhodes
- ✓ Councillor N Rushton

Nottingham City Council

- ✓ Councillor G Chapman
- ✓ Councillor A Clark

- ✓ indicates present at meeting

Also in attendance:

Leicestershire County Council

Mr S Nearney - Head of Strategic Human Resources

Nottingham City Council

Ms C Mills-Evans - Deputy Chief Executive/Corporate Director of Resources
Ms A Probert - Director of Human Resources and Organisational Transformation
Mr R Simpson - Constitutional Services Manager

1 APPOINTMENT OF CHAIRMAN

RESOLVED that Councillor Chapman be appointed Chairman of the Joint Committee for the period up to 31 May 2013.

2 APPOINTMENT OF VICE-CHAIRMAN

RESOLVED that Councillor Rushton be appointed Vice-Chairman of the Joint Committee for the period up to 31 May 2013.

3 APOLOGIES FOR ABSENCE

There were no apologies for absence.

4 DECLARATIONS OF INTERESTS

No declarations of interests were made.

5 ESTABLISHMENT OF OPERATING ARRANGEMENTS AND TERMS OF REFERENCE

Consideration was given to a joint report of the Corporate Director of Resources (Leicestershire County Council) and Deputy Chief Executive/Corporate Director of Resources (Nottingham City Council), copies of which had been circulated.

In response to questions it was confirmed that performance reports on the totality of shared services would in future be submitted to the Joint Committee but that, in addition, each authority was able independently to produce any performance reports required for their own purposes.

RESOLVED

- (1) that the functions delegated to the Joint Committee, as set out in Appendix 1 to the report and appended to these minutes, be noted;**
- (2) that the following terms of reference and rules for the conduct of meetings and proceedings of the Joint Committee be approved:**

Subject to the terms of the East Midlands Shared Services Partnership Agreement to:

- (i) Oversee and provide strategic direction for the development, implementation and on-going operation of EMSS;**
- (ii) Ensure the effective delivery of the shared service functions in accordance with the terms of the agreement;**
- (iii) Monitor the effectiveness of those arrangements and make recommendations to the Member Authorities e.g. in the event of any changes to legislation, developments in best practice or the requirements imposed on Member Authorities;**
- (iv) On an annual basis, agree a three year business plan for EMSS including:**
 - Service delivery, service development & financial objectives**
 - Performance improvement & efficiency targets**
 - Staffing**
 - Business continuity planning**
 - Risk management**
- (v) Set annual capital, revenue and staffing budgets for EMSS and no later than 1st December in each year submit these to the Member Authorities for approval as part of the business plan**

- (vi) Monitor the operational performance of EMSS on a quarterly basis**
- (vii) Ensure service delivery is in accordance with the key performance indicators and agreed national, regional and local priorities**
- (viii) Ensure effective action is taken to remedy any under-performance in the delivery of services**
- (ix) Monitor the financial performance of EMSS – quarterly report and monthly updates**
- (x) Determine the arrangements for support services in agreement with the Host Authority and in consultation with the Head of Shared Service**
- (xi) Produce an annual report to the member Authorities by no later than 30th June in each year covering the performance of EMSS in the 12 month period ending on the preceding 31st March**
- (xii) Co-operate with and participate in Overview and Scrutiny exercises of the Member Authorities into the activities of EMSS**
- (xiii) Review the operation and effectiveness of the shared service arrangements at least every two years, consider ways in which the Shared Services partnership can be expanded and make recommendations to the Member Authorities as appropriate**

RULES FOR CONDUCT OF MEETINGS AND PROCEEDINGS OF THE JOINT COMMITTEE

Preamble: The Joint Committee shall follow the normal arrangements which apply to the proceedings of local government committees with the following additional provisions applying:

1. Annual Meeting

- 1.1 The Joint Committee shall in every year hold an Annual Meeting**
- 1.2 The first meeting held after the Annual Meeting of all the Member Authorities in any year shall be the Annual Meeting**
- 1.3 The Joint Committee may in every year hold in addition to the Annual Meeting such other meetings as they may determine**

2. Membership

- 2.1 The membership of the Joint Committee to comprise four elected members, two being drawn from each Council's Executive**
- 2.2 Each Authority will have the right to appoint a substitute elected member drawn from their Executive to attend any meeting of the Joint Committee in place of an appointed elected member provided that the Secretary shall be given at least 24 hours notice of any such appointment**

3. Appointment of Chairman, Vice Chairman, Secretary and Treasurer

- 3.1 The Joint Committee shall appoint one of its Members to be Chairman of the Joint Committee who shall subject to paragraph 3.2 and, unless he resigns his office or ceases to be a member of the Joint Committee, continue in office for a period of 24 months or until his successor becomes entitled to act**
- 3.2 The first Chairman of the Joint Committee shall be a person nominated by Nottingham City and shall hold office for the period up to 31st May 2013**
- 3.3 The Chairmanship of the Joint Committee following the initial appointment by Nottingham City shall rotate between Leicestershire and Nottingham City on a two year cycle**
- 3.4 The Joint Committee shall appoint one of its Members to be Vice Chairman of the Joint Committee who shall subject to paragraph 3.5 and, unless he resigns his office or ceases to be a member of the Joint Committee, continue in office for a period of 24 months or until his successor becomes entitled to act**
- 3.5 The first Vice Chairman of the Joint Committee shall be a person nominated by Leicestershire and shall hold office for the period up to 31st May 2013.**
- 3.6 The Vice Chairmanship of the Joint Committee following the initial appointment by Leicestershire shall rotate between Nottingham City and Leicestershire on a two year cycle**
- 3.7 The role of Secretary and Treasurer to the Joint Committee will be provided by Nottingham City Council**

4. Casual Vacancies

- 4.1 On a casual vacancy occurring in the office of Chairman or Vice Chairman of the Joint Committee the vacancy shall be filled by the appointment by the Joint Committee of one of their members at the next meeting and the person so appointed shall hold office until the date upon which the person in whose place he is appointed would regularly have retired**

5. Calling of Meetings

- 5.1 The Secretary of the Joint Committee shall summon the members to such meetings as may:
 - 5.1.1 have been agreed in accordance with paragraph 1.3;**
 - 5.1.2 be called by the Chairman of the Joint Committee or, if the office of Chairman is vacant, the Vice Chairman of the Joint Committee at any time;**
 - 5.1.3 be requisitioned by any two members of the Joint Committee giving notice in writing signed by them to the Secretary of the Joint Committee specifying the nature of the business to be transacted; or**
 - 5.1.4 be requisitioned by any Member Authority giving notice in writing to the Secretary of the Joint Committee specifying the nature of the business****

to be transacted and signed by the Chief Executive or proper officer of the Member Authority

- 5.2 Unless the persons giving notice requisitioning a meeting in accordance with paragraph 5.1.3 or paragraph 5.1.4 agree otherwise any meeting consequent upon such a requisition shall so far as practicable be held within 10 working days of the date of the receipt of the requisition by the Secretary to the Joint Committee**
- 5.3 At least five clear working days before a meeting of the Joint Committee**
- 5.3.1 notice of the time and place of the intended meeting shall be published at the offices of both Leicestershire and Nottingham City: and**
- 5.3.2 a summons to attend the meeting, specifying the agenda for that meeting and signed by the Secretary to the Joint Committee shall be sent to:**
- 5.3.2.1 every member of the Joint Committee; and**
- 5.3.2.2 the proper officer of every Member Authority by sending by first class mail to the principal office address of the Member Authority or by sending an electronic copy by e-mail to any e-mail address notified to the Secretary of the Joint Committee for that purpose**
- 5.4 The Secretary will draw up the agenda in consultation with both the Chairman and Vice Chairman.**
- 5.5 No business shall be transacted at a meeting requisitioned by the members of the Joint Committee other than that specified in the agenda**
- 6. Nominated Officers To Attend**
- 6.1 The Head of Paid Service the Monitoring Officer and the s151 Officer of each Member Authority or their respective nominees shall be entitled to attend every meeting of the Joint Committee in the capacity of observer.**
- 7. Quorum**
- 7.1 The number of Members constituting a quorum shall be one quarter of the membership of the Joint Committee provided that in no case shall a quorum be less than three members and further provided that no item of business shall be transacted at a meeting of the Joint Committee unless at least one member from each Founding Member Authority ** is present and entitled to vote thereon.**
- 8. Standing Orders**
- 8.1 Subject to anything expressly provided herein the Standing Orders (Meeting Procedure Rules) of the Authority providing the Secretariat will apply to the Joint Committee**
- 9. Voting**
- Given the composition of the Joint Committee, decisions shall normally be taken on the basis of consensus**

10. Sub-Committees

The provisions of these Terms of Reference shall apply mutatis mutandis to meetings of subcommittees as they do to meetings of the Joint Committee

**** Nottingham City Council and Leicestershire County Council**

6 EAST MIDLANDS SHARED SERVICES – PROGRAMME UPDATE

A presentation was made to the Joint Committee by the Deputy Chief Executive/Corporate Director of Resources, Nottingham City Council with assistance by the Head of Strategic Human Resources, Leicestershire County Council, and the Director of Human Resources and Organisational Transformation, Nottingham City Council, summarising the following:

- Programme Objectives
- Business Case – financial and non-financial benefits
- Programme Governance
- Programme Structure
- Programme Plan and key milestones
- Key Achievements to date
- Communications and engagement
- Key risks and issues

In response to questions and comments the following additional information was provided:

- there were similarities between the reporting styles of both organisations and work was being undertaken to try and standardise these in order to aid improvement, whilst maintaining the ability to produce other reports needed by managers;
- it was confirmed that a rating of 16 was the highest on the scale of high priority risks and, while risks were not weighted against each other, a detailed risk register was being maintained and managed but only key issues would be reported to the Joint Committee;
- the Programme Board had been advised that costs should be contained within the current cost envelope;
- a shared services marketing strategy formed part of the business plan and would be used to encourage take up by other authorities either as partners or as customers;

RESOLVED that the presentation be noted.

7 DATES AND TIMES OF FUTURE MEETINGS

RESOLVED that meetings be held on a quarterly basis and the next be arranged for 10.00 am on 13 January 2012.

FUNCTIONS DELEGATED TO EMSS JOINT COMMITTEE

The functions delegated to the EMSS Joint Committee are those set out in v5.6 of the EMSS Outline Business Case. Functions to be delegated in respect of HR, ICT and Finance are summarised below. Further functions regarding Property and Asset Management and Corporate Reporting are set out in v5.6 of the EMSS Outline Business Case.

The Shared Services relating to human resources are:

- Employee life cycle
- Employee self service
- Manager self service
- Recruitment
- Absence management
- Payroll variable entry
- Payroll processing
- Timesheet loading
- Establishment control
- Electronic Staff records
- Management information

The Shared Services relating to human resources do not include any matter not stated within the most recently agreed outline business case as falling within EMSS and specifically the following matters, all of which are Reserved to the Councils.

- Appointment of Tier 1 officers (i.e. Chief Executive and Corporate Directors)
- Management of disciplinary, grievance and dismissal relating to officers with statutory protection

The Shared Services relating to ICT are:

- Maintenance of ICT equipment required for EMSS
- Security of ICT equipment required for EMSS
- Obtaining of any necessary licences for use of ICT systems and programmes and contracts with external providers required for EMSS
- Training of staff working on ICT matters required for EMSS

The Shared Services relating to ICT do not include any matter not stated within the most recently agreed outline business case as falling within EMSS and specifically the following matters, all of which are Reserved to the Council.

- Approval of policies relating to use of ICT equipment and systems.

The Shared Services relating to finance are:

- General ledger
- Financial reporting
- Accounts payable

- Accounts receivable
- Billing
- Debt administration
- Cashiers
- Purchasing and online procurement

The Shared Services relating to finance do not include any matter not stated within the most recently agreed outline business case as falling within EMSS and specifically the following matters, all of which are Reserved to the Council.

- Approving and adopting the Budget and policy framework and changes to this
- Approval of the Councils' corporate plan
- Approving schemes for the use of earmarked reserves or contingency provision
- Approval of virements for non Joint Committee budgets
- Any matters which amount to the statutory duties of the Councils' Chief Finance Officers
- Approval of the Councils' annual statement of accounts
- Approval of renewal terms for insurances for the Councils